

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EQ310504435WS

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Date of Deposit

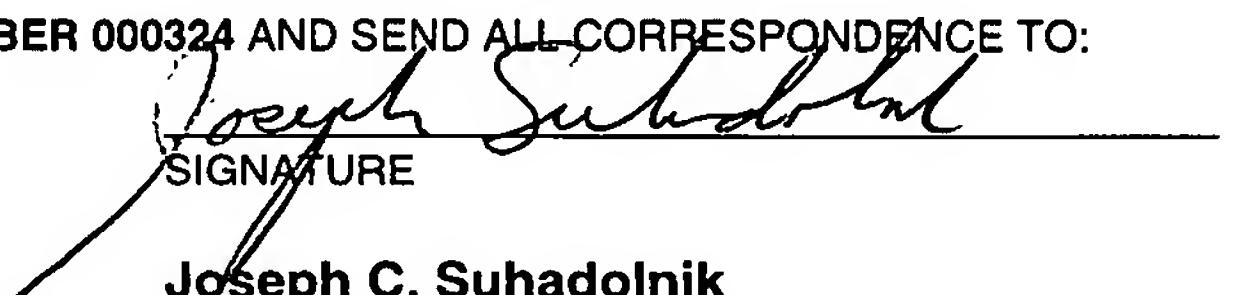
Form PTO-1390 (REV 10-95)	U. S. Department of Commerce Patent and Trademark Office		ATTORNEY'S DOCKET NUMBER <b>II/2-23016/A/PCT</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/587,584</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP 05/050276</b>	INTERNATIONAL FILING DATE <b>January 24, 2005</b>	PRIORITY DATE CLAIMED <b>February 2, 2004</b>	
TITLE OF INVENTION <b>FUNCTIONALIZED PHOTINITIATORS</b>			
APPLICANT(S) FOR DO/EO/US <b>Kurt Dietliker, Rinaldo Hüslér, Jean-Luc Birbaum and Jean Pierre Wolf</b>			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
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  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
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**Items 11. to 16. below concern document(s) or information included.**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
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14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information: **(See attached Form PCT/ISA/210)**

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<p>17. <input type="checkbox"/> The following fees are submitted:</p> <table> <tr> <td>Basic national fee.....</td> <td>\$300.00</td> <td>\$</td> </tr> <tr> <td>Examination fee. ....</td> <td>\$200.00</td> <td>\$</td> </tr> <tr> <td colspan="3">Search Fee</td> </tr> <tr> <td>    <input type="checkbox"/> If Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....</td> <td colspan="3"></td> </tr> <tr> <td>    <input checked="" type="checkbox"/> If International Search Report was prepared and provided to the Office... ..</td> <td>\$400.00</td> <td></td> </tr> <tr> <td>    <input type="checkbox"/> All other situations.....</td> <td colspan="3"></td> </tr> </table> <p><b>TOTAL OF ABOVE CALCULATIONS=</b> <b>\$900.00</b> <b>\$</b></p> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.</p> <table> <thead> <tr> <th>Total Sheets</th> <th>Extra sheets</th> <th>Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>- 100 =</td> <td>/50=</td> <td></td> <td>x \$250.00</td> </tr> </tbody> </table> <p>Surcharge of <b>\$130.00</b> for furnishing the oath of declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <b>\$130.00</b></p> <table> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td></td> <td>X \$50.00</td> </tr> <tr> <td>Independent claims</td> <td>-3 =</td> <td></td> <td>X \$200.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$360.00</td> </tr> <tr> <td colspan="3"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$130.00</b></td> </tr> </tbody> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.</p> <p><b>SUBTOTAL =</b> <b>\$130.00</b></p> <p>Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <b>\$130.00</b></p> <p><b>TOTAL NATIONAL FEE =</b> <b>\$130.00</b></p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property <b>\$40.00</b></p> <p><b>TOTAL FEES ENCLOSED =</b> <b>\$130.00</b></p> <table> <tr> <td rowspan="2">07/16/2007 GFREY1 00000111 031935 10587584</td> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td>Amount to be charged:</td> <td><b>\$130.00</b></td> </tr> </table> <p>01 FC:1617 130.00 DA</p> <p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 03-1935 in the amount of <u>\$130.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1935. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p><b>PLEASE ASSOCIATE THE ATTACHED APPLICATION WITH CUSTOMER NUMBER 000324 AND SEND ALL CORRESPONDENCE TO:</b></p> <p>JoAnn Villamizar, Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005</p> <p> SIGNATURE <b>Joseph C. Suhadolnik</b> NAME</p> <p>DATE: July 13, 2007 <b>56,880</b> REGISTRATION NUMBER</p>						Basic national fee.....	\$300.00	\$	Examination fee. ....	\$200.00	\$	Search Fee			<input type="checkbox"/> If Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....				<input checked="" type="checkbox"/> If International Search Report was prepared and provided to the Office... ..	\$400.00		<input type="checkbox"/> All other situations.....				Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	- 100 =	/50=		x \$250.00	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =		X \$50.00	Independent claims	-3 =		X \$200.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$130.00</b>	07/16/2007 GFREY1 00000111 031935 10587584	Amount to be refunded:	\$	Amount to be charged:	<b>\$130.00</b>
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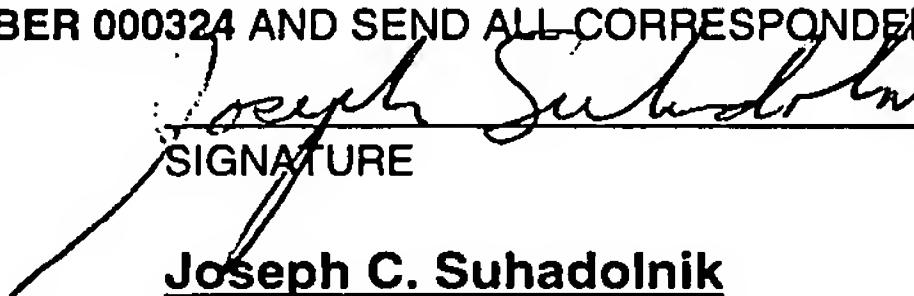
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 <b>SIGNATURE</b> <b>Joseph C. Suhadolnik</b> <b>NAME</b>			
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/587,584	Kurt Dietliker	II/2-23016/A/PCT

324

CIBA SPECIALTY CHEMICALS CORPORATION  
 PATENT DEPARTMENT  
 540 WHITE PLAINS RD  
 P O BOX 2005  
 TARRYTOWN, NY 10591-9005

RECEIVED  
 Andrea DeCecchis

MAY 18 2007

Docket Coordinator  
 Patent Department

I.A. FILING DATE	PRIORITY DATE
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CONFIRMATION NO. 9684

371 FORMALITIES LETTER



\*OC000000023882081\*

Date Mailed: 05/16/2007

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/28/2006
- Copy of the International Search Report filed on 07/28/2006
- Preliminary Amendments filed on 07/28/2006
- Information Disclosure Statements filed on 07/28/2006
- U.S. Basic National Fees filed on 07/28/2006
- Priority Documents filed on 07/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**DOCKETED**

**FOR:**

*July 16, 2007*

1112-23016/A/PCT

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

INDIA L EVANS

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Telephone: (703) 308-9140 EXT 212

**PART 1 - ATTORNEY/APPLICANT COPY**

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FORM PCT/DO/EO/905 (371 Formalities Notice)